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Reissue Docket Number: 252/128

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### REISSUE APPLICATION DECLARATION BY INVENTORS

## BEST AVAILABLE COPY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is described and claimed in patent number <u>5,731,898</u>, granted <u>March 24, 1998</u>, and for which a reissue patent is sought on the invention entitled <u>OPTICAL FILTER ARRANGEMENT</u> the specification of which

is attached hereto OR was filed on March 24, 2000 as United States reissue application Serial No 09/535,827 and was amended on (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Yes	<u>Claimed</u> No
94/2502	South Africa	April 12, 1994	XXXX	
94/9414	South Africa	November 28, 1994	XXXX	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

	U.S. (Reissue) Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned
•			*	





Reissue Docket Number: 252/128

I verily believe the original patent to be wholly or partly inoperative or invalid, for the reasons described below. (Check all boxes that apply.)

	BEST AVAILABLE COPY			
$\boxtimes$	by reason of a defective specification or drawing.			
$\boxtimes$	by reason of the patentee claiming less than he has a right to claim in the patent.			
	by reason of other errors.			
At least one error upon which reissue is based is described below.				
In the "BRIEF DESCRIPTION OF THE DRAWINGS," a description for the included Figure 13 was inadvertently omitted.				

At column 8, line 66, "FIG. 6" was inadvertently referred to instead of "FIG. 7".

No. In claim 1, at column 12, line 6 "thins film" should read "thin film".

No

This is a broadening reissue and following is an explanation as to the nature of the broadening. The specification of original U.S. Patent No. 5,731,898 is partly inoperative by reason of the patentee claiming less than he had a right to claim through error without any deceptive intention. I verily believe that one error includes the omission of claims directed to a transparent construction including a reflective pattern thereon that are the same as, or equivalent of, claims 5-24 of this reissue application? I verily believe that another error includes the omission of claims directed to a method of forming a transparent construction including a reflective pattern thereon that are the same as, or equivalent of, claims 25-26.

All errors which are being corrected in the present reissue application up to the time of filing of this declaration arose without any deceptive intention on the part of the applicant.

POWER OF ATTORNEY: As a named inventor, I hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified invention application, all of the registered practitioners identified by following Customer Number:

PATENT TRADEMARK OFFICE

LYON & LYON LLP **Suite 4700** 633 W. Fifth Street Los Angeles, CA 90071 (213) 489-1600 (213) 955-0440 fax

Please direct all inquiries to the attention of David A. Randall, at the above Customer Number.

Reissue

Docket Number: 252/128

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

	FULL NAME OF INVENTOR	FIRST Name Domenico	MIDDLE Initial	LAST Name Orzi	
201	RESIDENCE & CITIZENSHIP	City Palos Verdes Estates	State or Foreign Country California	Country of Citizen Italy	ship
	POST OFFICE ADDRESS	773 Via Del Monte	City Palos Verdes Estates	State or Country California	Zip Code 90274
INVENTOR'S SIGNATURE DATE JUNE 13, 200					

	FULL NAME OF INVENTOR	FIRST Name Ettienne	MIDDLE Initial	LAST Name Theron	
202	RESIDENCE & CITIZENSHIP	City Pretoria	State or Foreign Country South Africa	Country of Citizen South Africa	ship
	POST OFFICE ADDRESS	173 Watermeyer Street, Meyerspark	City Pretoria	State or Country South Africa	Zip Code
IN∨	ENTOR'S SIGNATU	RE		DATE	

JUL 0 5 20m Su

Berg.	BADE	STATEMENT UNDER 37 CFR 3.73(b)	
		atent Owner: Domenico Orzi and Ettienne Theron	
Applica	tion N	No./Patent No.: 5,731,898 Filed/Issue Date: 03/24/1998	
Entitled	: <u>Opt</u>	tical Filter Arrangement	
Roberto	Lenz (Na	zi , an <u>individual</u> ame of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)	
states t	hat it i	is:	
1.	the	assignee of the entire right, title, and interest; or	
2. 🛛	an a	assignee of an undivided part interest	
in the p	atent	t application/patent identified above by virtue	
of eithe	r.		
A. 🗆	An a	assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded he Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	t
OR			
В. 🛚		chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as own below:	
	1.	From: <u>Domenico Orzi and Ettienne Theron</u> To: <u>Domenico Orzi and Guido Orzi</u> The document was recorded in the Patent and Trademark Office at Reel <u>00743</u> , Frame <u>0042-0044</u> , or for which a copy thereof is attached.	
	2.	From: 15% undivided interest from Guido Orzi To: Roberto Lenzi The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	3.	From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
		Additional documents in the chain of title are listed on a supplemental sheet.	
⊠	[NO subi	pies of assignments or other documents in the chain of title are attached.  OTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be omitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the cords of the PTO. See MPEP 302-302.8]	
The un	dersig	gned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.	
	Po	Date Signature	
		Roberto Lenzi Typed or printed name	
		Title	



STATEMENT UNDER 37 CFR 3.73(b)  Applicant/Patent Owner: Domenico Orzi and Ettienne Theron
Applicant/Patent Owner: Domenico Orzi and Ettienne Theron
Application No./Patent No.: <u>5,731,898</u> Filed/Issue Date: <u>03/24/1998</u>
Entitled: Optical Filter Arrangement
Oomenico Orzi , an individual (Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)
states that it is:
1.   the assignee of the entire right, title, and interest; or
2. 🛛 an assignee of an undivided part interest
n the patent application/patent identified above by virtue
of either.
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR ·
B.  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
From: <u>Domenico Orzi and Ettienne Theron</u> To: <u>Domenico Orzi and Guido Orzi</u> The document was recorded in the Patent and Trademark Office at     Reel <u>00743</u> , Frame <u>0042-0044</u> , or for which a copy thereof is attached.
From: 15% undivided interest from Guido Orzi To: Roberto Lenzi The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
Jule 29, 2001  Signature  Domenico Orzi  Typed or printed name
///EMTOR
Title



STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: Domenico Orzi and Ettienne Theron
Application No./Patent No.: <u>5,731,898</u> Filed/Issue Date: <u>03/24/1998</u>
Entitled: Optical Filter Arrangement
<u>Jax Holdings, Inc.</u> , an <u>corporation</u> (Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)
states that it is:
1. \( \square\) the assignee of the entire right, title, and interest; or
2. an assignee of an undivided part interest
in the patent application/patent identified above by virtue
of either.
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
OR
B.   A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
From: <u>Domenico Orzi and Ettienne Theron</u> To: <u>Domenico Orzi and Guido Orzi</u> The document was recorded in the Patent and Trademark Office at  Reel <u>00743</u> , Frame <u>0042-0044</u> , or for which a copy thereof is attached.
2. From: 15% undivided interest from Guido Orzi To: Roberto Lenzi
The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
<ol> <li>From: <u>Domenico Orzi, Guido Orzi, Roberto Lenzi</u> To: <u>Jax Holdings, Inc.</u></li> <li>The document was recorded in the Patent and Trademark Office at Reel <u>00743</u>, Frame <u>0042-0044</u>, or for which a copy thereof is attached.</li> </ol>
Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]
The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
Date Signature
Christopher Donnelly Typed or printed name
Chief Executive Officer Title



DE LOS	<b>'</b>	STATEMENT UNDER 37 CFR 3.73(b)	
Applic	<_e cant	VPatent Owner: Domenico Orzi and Ettienne Theron	
Applic	catio	on No./Patent No.: <u>5,731,898</u> Filed/Issue Date: <u>03/24/1998</u>	
Entitle	ed:	Optical Filter Arrangement	
Guido	Or.	Zi , an individual (Name of Assignee) (Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)	
states	s tha	at it is:	
1.	] t	the assignee of the entire right, title, and interest; or	
2. 🗵	] ;	an assignee of an undivided part interest	
in the	pat	tent application/patent identified above by virtue	
of eith	ner.		
A. [	] / i	An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	ţ
OR			
8. 🗵	] ,	A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:	
	•	1. From: <u>Domenico Orzi and Ettienne Theron</u> To: <u>Domenico Orzi and Guido Orzi</u> The document was recorded in the Patent and Trademark Office at Reel <u>00743</u> , Frame <u>0042-0044</u> , or for which a copy thereof is attached.	
	;	From: 15% undivided interest from Guido OrziTo: Roberto Lenzi The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
	;	3. From: To: The document was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.	
		Additional documents in the chain of title are listed on a supplemental sheet.	
×		Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the PTO. See MPEP 302-302.8]	
The u	ınde	ersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.	
	(j	Rome 5/NF 26, 2001 Date Signature	
		Guido Orzi  Typed or printed name	
4		Title	



Attachment t Recordation Form Cover Sheet - Patent Only

2. Name and Address of receiving party(ies)

Guido Orzi Via Isidoro Del Lungo 34 Rome, Italy

•	ASSIGNMENT 08 41	7983
	(1) DOMENICO ORZI	
	(2) ETTIENNE THERON	
1-4) insert isme(s) of	(3)	
BACHtot(2)	(4)	
	In consideration of the sum of one dollar (\$1,00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby do(es) assign, transfer and set over to	
asert name of	(5) DOMENICO ORZI AND GUIDO ORZI	· · · · · · · · · · · · · · · · · · ·
Assigned	(6) of 100 FORREST ROAD, ATHOLL, SANDTON, SOUTH AFRICA	
Assigner .	AND VIA ISIDORO DEL LUNGO 34, ROMB, ITALY	
	(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as	
insert ident-	(7) AN OPTICAL PILTER ARRANGEMENT	
lication of aventual	(Case no.)	
ns title, case so. or foreign	••	
pplication so.		
	for which the undersigned has (have) executed an aplication for patent in the United States of America	
lasert date of signing of application	(8) 20TH MARCH, 1995	·
Alternative identification for filed applications	(9) US Application Serial Number	
and also to execute as the Assignee ma 2) The unders with any interferen continuation or div way possible in obta 3) The unders perform any act with the light of the light of the light of the light of the said application said application assigned, an execute, any agrees	a grant of a valid United States patent to the Assignee. a grant of a valid United States patent to the Assignee igned hereby authorise(s) and request(s) the Commissioner of y and all Letters Patents of the United States resulting on or any division or divisions or continuing applications. Assignee, as Assignee of the entire interest, and hereby has (they have) full right to convey the entire interest d that he has (they have) not executed and will not next in conflict herewith.	REET 43 1 RANGO 4 4
In witness whereof undersigned name(s	executed by the undersigned on the date(s) opposite the	
Date 20TH MARCH	1995 Name of Inventor DOMENICO ORZI/	<del></del>
Date 20TH MARCH	1995 Name of Inventor ETTIENNE THERMOTORDED	· 
Date	Name   Laveator   PATCHE & LIMAN MARK OFFICE	
Date	Name of InventorAPR -6 95	

#### ASSIGNME<u>NT</u>

WHEREAS, DOMENICO ORZI, a citizen of Italy, currently residing at 773 Via Del Monte, Palos Verdes Estates, California 90274, U.S.A., and ETTIENNE THERON, a citizen of South Africa, currently residing at 173 Watermeyer Street, Meyerspark, Pretoria, South Africa (collectively, the "Inventors"), are the inventors of a new and useful technology generally characterized as a transparent filter arrangement, and method of making the same, which when viewed from one side an image is visible thereon, but when viewed from the opposite side the image is substantially visibly imperceptible (the "Invention");

WHEREAS, an application for Letters Patent of the United States of America was filed on April 6, 1995, under Serial No. 08/417,983 and under the title "AN OPTICAL FILTER ARRANGEMENT" for the Invention;

WHEREAS, U.S. Letters Patent No. 5,731,898 subsequently issued on March 24, 1998 on the Invention from U.S. Application Serial No. 08/417,983;

WHEREAS, an application for a Reissne Letters Patent was filed on March 24, 2000, under Scrial No. 09/535,827 from U.S. Patent No. 5,731,898 (the "Reissne Application");

WHEREAS, by way of an assignment dated March 20, 1995, which was recorded with the United States Patent and Trademark Office on April 6, 1995 at real/frame 7431/0042 through 0044, the Inventors assigned their entire right, title, and interest in, to and under said Invention, said U.S. Letters Patent No. 5,731,898, and said Reissne: Application to DOMENICO ORZI and GUIDO ORZI, a citizen of Italy, currently residing at Via Oglio 5, 00198 Rome, Italy, each thereby holding an undivided 50 percent interest in the Invention;

WHEREAS, from his undivided 50 percent interest in the Invention, on or about September 12, 1998, in an unrecorded private agreement GUIDO ORZI assigned to, and hereby

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DECORDATION FOR	RM COVER SHEET U.S. DEPARTMENT OF COMMENC				
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To the Minoritie Contributions and Trademarks: P	Tesse record the attached original documents or copy transfer.				
	2. Name and address of receiving party(ies)	שׁ			
1. blame of conveying party(les):	Name: Domenico Orzi				
Domenico Orzi Ettignne Theron					
Academie resmetal of conveying party (see) smarted?   Yes   No	Internal Address:				
400	,				
3/Nature of conveyance: (9	Street Address: 100 Forrest Road				
(R Assignment C) Merger	Antholl, Sandron, South Africa				
☐ Security Agreement ☐ Change of Name					
D Other	Cky:State:ZIP:				
Exaction Date: March 20, 1995	Additional name(s) & address(ss) exected? & Yes C No				
4. Application number(s) or patent number(s):					
If this document is being filed together with a new application	on, the execution date of the application is: April 6, 19	95			
A. Putent Application No.(x)	B. Patent No.(s)	·			
Additional numbers in	method? O Yes & No				
g. Name and address of party to whom correspondence	g. Total number of applications and patents involved: 1				
concerning document should be mailed:					
Name: Clifford W. Browning, Esq.	7. Total fee (37 CFR 3.41) \$40.00	ا ( د			
Internal Address:	Ex Enclosed				
	Authorized to be charged to deposit account	- 1			
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Statement and signature.  So the best of my knowledge and best, the foregoing information is true and correct and any attached copy is a true copy of					
the original document.	soul 6/8	45			
Clifford W. Browning  Name of Person Skyring  Total number of pages including cover sheet, etsetweens, and document.					
Medi documents to be recorded with required coversheet information to 2 2 2 1 Convenients of Presents & Tradements, Box Assignments 3 1 7 5 2 2 1 Convenients of Presents & Tradements, Box Assignments 3 1 7 5 2 2 1 Convenients of Presents & Tradements & Tradement					
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Date of Deposit.

Thereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR\$1.10 on the date indicated above and is addressed to the Committationer of Patents and Trademarks, Washington, D.C. 20231.

Signature of person malling paper or fee

confirms such assignment to, ROBERTO LENZI, a citizen of Italy, residing at Via Riccardo Moretti, 6/A, 00123 Rome, Italy, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in said U.S. Letters Patent No. 5,731,898, and said Reissue Application; and

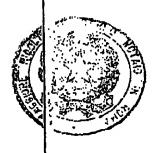
WHEREAS, JAX HOLDINGS, Inc., a corporation organized and existing under the laws of Delaware, and having its address and principal place of business at 5155 Rosexians Avenue, Suite 320, Hawthorne, California, U.S.A. (hereinafter "JAX"), is desirous of acquiring the full and exclusive right, title and interest in, to and under said Invention, in, to and under said U.S. Letters Patent No. 5,731,898, and in, to and under said Reissue Application and any Reissue Letters Patent or similar protection to be obtained therefor, as part and parcel of asset purchase agreements that JAX has consummated with DOMENICO ORZI, GUIDO ORZI, and ROBERTO LENZI (collectively "ASSIGNORS").

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS do hereby sell, assign, transfer and set over unto JAX, its successors, assigns and legal representatives, the full and exclusive right, title, and interest in and to said Invention, and in and to said U.S. Letters Patent No. 5,731,898, and in and to any reissue or reexamination thereof, including said Reissue Application and all Reissue Letters Patent or similar legal protection to be obtained for said Invention from said Reissue Application, and all continuations, continuations-in-part, and/or divisional applications thereof, including without limitation, the right to sue for any past infringement; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any Reissue Letters Patent issuing

from said Reissue Application, or any continuation, continuation in part, or divisional thereof, to said JAX, its successors and assigns, in accordance with this Assignment;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment; and

ASSIGNORS further covenant that JAX will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said Invention, said Letters Patent, said Reissue Application and said Reissue Letters Patent, and all legal equivalents, as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to JAX or its legal representative any and all papers, instruments or affidavits required to apply for, obtain,



maintain, issue and enforce said application, said Invention, said Letters Patent, said Reissue

Application and said Reissue Letters Patent, and said equivalents, in the United States or in any
foreign country, which may be necessary or desirable to carry out the purposes thereof.

WITNESS my hand at	<u>ि।ह</u>	, Italy, this	26day	of
<u>Jule</u> , 2001.		//		•
es			7	
		GOLDO ORZ		
WIINESS my hand at	ROHE	Italy, this	26day	of
JUNE, 2001.	*14.4	and the second of		-
	. •	- Afr	36	
		ROBERTO L	ENZI	•
WITNESS my hand at . 1	<u> AUTLORFO</u>	6, California,	U.S.A., this <u>13</u>	day of
2001.				
·		DOMENICO	oka	

On	before me,	, personally appeared
in he the person(s) to me that he/she/tl his/her/their signat	whose name(s) is/are subscr ney executed the same in his	proved to me on the basis of satisfactory evidence ibed to the within instrument and acknowledged fuer/their authorized capacity(ies), and that by person(s), or the entity upon behalf of which the
-witness	my hand and official seal.	•
		Notary Public
On	before me,	, personally appeared
Roberto Leuzi		
to me that he/she/th his/her/their signati person(s) acted, exc	ey executed the same in his	ibed to the within instrument and acknowledged her/their authorized capacity(ies), and that by nerson(s), or the entity upon behalf of which the
		•
		Notary Public
STATE OF CALIF	) ss	
On June Domenico Orzi	13,200 before me, 1.6	Slie Heredricks, personally appeared
to be the person(s) to me that he/she/th his/her/their signatu	whose name(s) is/are subscri ey executed the same in his/	toved to me on the basis of satisfactory evidence bed to the within instrument and acknowledged her/their authorized capacity(ies), and that by the berson(s), or the entity upon behalf of which the
	ESULE HENDRICKS COMM. #1280842 NOTARY PUBLIC - CALIFORNIA O	Notary Public CR3

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#### CESSIONE



Premesso che, DOMENICO ORZI, un cilladino Italiano, residente a 773 Via del Monte, Falos Verdes Estates, California 90274, U.S.A., od ETTIENNE THERON, cittadino del Sud Africa, allualmente residente a 173 Watermeyer Street, Meyerspark, Pretorla, Sud Africa (collectivamente, gli "inventori", sono gli inventori utile tocnologia generalmente di /una nuova ed disposizione di caralterizzata da una trasparente, e procedimento per la fabbilicazione della stessa che, quando vista da un lato, sulla medesima è visibile una immagine, ma quando vista dal sostanzialmente l'immagine À opposto, impercettibile alla vista (1'"Invenzione");

Premesso che, una Domanda di Brevetto d'Invenzione per gli Stati Uniti d'America è stata depositata il 6 aprile 1995, Numero di Serie 08/417.983, avente per titolo "UNA DISPOSIZIONE DI FILTRO OTTICO" relativamente all'Invenzione;

Premasso che, il Brevetto d'Invenzione per gli Stati Uniti No. 5.731.898 è stato successivamente rilasciato il 24 marzo 1998 sull'Invenzione a sequito della Domanda per gli Stati Uniti No. 08/41/.983;

Premesso che, una Domanda di Brevetto d'Invenzione di Nuovo Rilascho è stata depositata 1)

softiges.

24 marzo 2000, con il Numero 09/535.827 dal Brevetto Statunitense No. 5./31.898 (la "Domanda di Nuovo Rilascio";

Premesso che, mediante cessione datata 20 marzo 1995; registrata presso l'Ufficio Brevetti e Marchi degli Stati Uniti il 6 aprile 1995, bobina/fotogramma 7431/da 0042 a 0044, gli Inventori hanno ceduto tutto il loro diritto, titolarità ed interesse nella, alla e verso la detta Invenzione, detto Brevetto per gli Stati Uniti No. 5.731.898 e della Domanda di Nuovo Rilascio a DOMENTCO ORZI e GUIDO ORZI, un cittadino Italiano, attualmente residente in Via Oglio, 5, 00198 Roma, Italia, ciascuno dei quali detiene un interesse del 50 percento nell'Invenzione;

Premesso che, del proprio interesse indiviso del 50% nell'Invenzione, il o intorno al 12 settembre 1998, mediante una scrittura privata non registrata, GUIDO ORAT ha ceduto a, e con la presente conferma tale cessione a, ROBERTO LENZI, una cittadino Italiano, residente in Via Riccardo Moretti, 6/A, 00123 Roma, Italia, un interesse indiviso del 15 percento nella, alla e verso la detta Invenzione per tutti i paesi e territori del mondo, ad eccezione del Brasile, che comprende un interesse indiviso del 15

percento nel Brevetto Statunitense No. 5.731.898 e in detta Domanda di Nuovo Rilascio; e

organizzata ed esistente ai sensi dolle loggi dello organizzata ed esistente ai sensi dolle loggi dello Statin del Delawaro, avente sede a 5155 Rosecrans Avenue, suite 370, Hawthorne, California, U.S.A. (qui di seguito "JAX") desidera acquisire l'intero ed esclusivo diritto, Litolarità ed interesse nella, alla e verso detta Invenzione, nel, al e verso detto Brevetto per gli Stati Uniti No. 5.731.898 e nella, alla e verso la Domanda di Nuovo Rilascio e qualsiasi Brevetto di Nuovo Rilascio o simple protezione da ottenersi in relazione a ciò, como parte e porzione dell'accordo di acquisto di beni che JAX ha stipulato con DOMENICO ORZI, GUIDO ORZI e ROBERTO LENZI (collettivamente, i "CEDENTI").

ORA, TUTTO CIÒ PREMESSO, per equo e considerevole corrispettivo, cui la tirma del presente atto serve di quielanza, i CEDENTI con la presente vendono, cedono, trasferiscono e passano a JAX, suoi successori, aventi diritto e rappresentanti legali, l'intero ed esclusivo diritto, titolarità ed interesse nella ed alla detta invenzione, e nel ed al Brevetto per gli Stati Uniti No. 5.731.898 e in e verso qualsiasi relativo nuovo rilascio o riesame,

Carpplison,

compresa qualsiasi Domanda di Nuovo Rilascio o similo protezione da ottenersi per detta Invenzione in conseguenza di Domanda di Nuovo Rilascio, e tutte le relative continuazioni, continuazioni parziali, e/o domande divisionali, compreso, senza alcuna limitazione, il diritto di citare in giudizio per violazioni pregresse; e i CEDENTI con la presente autorizzano e richiedono al Mandatario di Brevetti e Marchi di rilasciare qualsiasi Brevetto di Nuovo Rilascio derivante da detta Domanda di Nuovo Rilascio, o qualsiasi relativa continuazione, continuazione parziale o divisione, a detta JAX, suoi successori ed aventi diritto, ai sensi della presente Cessione;

I CEDENTI con la presente convengono che nessuna cessione, vendita, accordo o gravame che potrebbe essere in contrasto con la presente Cessione è stato o sarà posto in essere o stipulato.

I CEDENTI inoltre convengono che a JAX, su richiesta, saranno immediatamente forniti Lutti i fatti e documenti relativi a detta domanda, detta Invenzione, detto Brevetto, detta Domanda di Nuovo Rilascio e Brevello di Nuovo Rilascio, e tutti gli equivalenti legali, che siano nota ed accessibili ai CEDENTI e presteranno testimonianza relativamento a

CARS PILL



ciò in qualsiasi interferenza o controversia a ciò collegata ed eseguiranno prontamente e consegneranno rappresentante legale qualsiasi a JAX o suo o affidavit necessario documento, atto ottenere, mantenere, rilasciare richiledere, domanda, detta Invenzione, detto azionare detta Brevetto, detta Domanda di Nuovo Rilascio e Brevetto di Nuovo Rilascio, e detti equivalenti, negli Stati Uniti o in qualsiaci paese estero, come necessario o opportuno per portare a Leimine gli stessi.

Testimore la mia firma in ROHA , Tralia quest'oggi 26 GIOGNO , 2001.

GUIDO ORZI

Testimone la mia firma in ROMN, Italia, quest'oggi %6 GWGNO, 2001.

ROBERTO LENZT

Testimone	la	mia	tirma	in		_′	Italia
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quest'oggi				. 20	001.		

DOMENTICO ORZI

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personalmente comparso Guido Orzi

() a me personalmente noto - OPPURE - () il quale ha dimostrato sulla base di una prova soddisfacente di essere a persona la cui firma è apposta al documento allegato ad ha riconosciuto di aver eseguito il medesimo nella sua capacità autorizzata, e che mediante la propria firma sul documento la persona, o l'entità per conto della quale la persona ha aqito, ha eceguito il documento.

Mone la mia firma e sigillo ufficiale.

Notaio Pubblico

personalmente comparso Roberto Lenzi

() a me personalmente noto - OPPURE - () il quale ha dimostrato sulla base di una prova soddisfacento di essere a persona la cui firma è apposta al documento allegato ed ha riconosciuto di aver eseguito il medesimo nella sua capacità autorizzata, e che mediante la propria firma sul documento la persona, o l'entità per conto della quale la persona ha aqito, ha eseguito il documento.

Testimone la mia firma e sigillo ufficiale.

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Notaio Pubblico

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personalmente comparso Domenico Orzi
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e che mediante la propria firma sul documento la
persona, o l'entità per conto della quale la persona
ha agito, ha eseguito il documento.

Testimone la mia firma e sigillo ufficiale.

Notaio Pubblico



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## TRIBUNALE DI ROMA

Ufficio Atti Notori - Perizie e Traduzioni

## VERBALE DI GIURAMENTO

Addi 25/6/2001 avanti al sottoscritto Cancelliere è presente il
signor CECILIA MIGALI
documento: ADCARTADIOESTIANO 8941548
rilasciato da COMUNE DIROMA 11-84-7-1990
il quale chiede di asseverare con giuramento il suesteso atto. Io
Cancelliere, previe ammonizioni di legge, invito il comparente al
giuramento, che egli presta ripetendo: "Giuro di avere bene e
fedelmente adempiuto all'incarico affidatomi al solo scopo di sar
conoscere la verità".
Letto, confermato e sottoscritto.
( ecillo ) ligol

M. Grazia Corigliumo

UNIT APOSTICE

Repertorio n. 40283

#### AUTENTICA DI FIRMA

In sottoscritto Avv. Nicola Maggioré, Notaro in Roma, con studio in Via del Viminale n. 43 ed iscritto al Collegio Notarile di Roma, Velletri e Civitavecchia

#### CERTIFICO

che, previa loro concorde rinunzia con 11 mto consenso alla assistenza dei testimoni, i Sigg.

ORZI GUIDO, nato a Roma il 3 luglio 1956 ed lvi residente in Via Oglio n. 5, imprenditoro, c.f. RZO CDU 56LO3 H501X

LENZI ROBERTO, nato a Roma 11 7 settembre 1954 ed 1v1 residente in Via Riccardo Moretti n. 6/A, imprenditore, c.f. LNZ RRT 54P07 H501L

della cui identità personale io Notaro sono certo, hanno apposto la firma che precede in mia presenza e vista in Roma, nel mio studio, il ventisei giugno duemilauno

Miche Maggiore notas





LENZI, a citizen of Italy, residing at Via Riccardo Moretti, 6/A, 00123 Rome, Italy, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in said U.S. Letters Patent No. 5,731,898 and said Reissue Application; and

WHEREAS, GUIDO ORZI and ROBERTO LENZI now desire to have their Assignment recorded with the United States Patent and Trademark Office.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, GUIDO ORZI, from his undivided 50 percent interest in the Invention, does hereby sell, assign, transfer and set over unto ROBERTO LENZI, his successors and assigns, effective as of September 12, 1998, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in and to said U.S. Letters Patent No. 5,731,898 and any reissue or reexamination thereof, including said Reissue Application and all Reissue Letters Patent or similar legal protection to be obtained for said Invention from said Reissue Application, and all continuations, continuations-in-part, and/or divisional applications thereof, including without limitation, the right to sue for any past infringement; and

COPY OF PAPERS

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Tab settings Commissioneral Petents and Trademarks: P	tesse record the attached original documents or copy typesty 98-3				
1. Name of conveying party(st):  Domenico Orzi  Ettienne Theron	Name and address of receiving party(les)  Name: Domenico Orzi  Internal Address:				
Additional resmellation correspond pure (less) standard? © Yes III No.					
3/ Nature of conveyance: 9  9 Assignment	Street Address: 100 Forrest Road Antholl, Sandton, South Africa  CMy:State:ZP:				
Exaction Date: March 20, 1995	Additional name(s) & address(es) attached? © Yes G No				
A. Puteril Application No.(a)	III. Patent No.(s)  B. Patent No.(s)				
Name and address of party to whom correspondence concerning document should be mailed:	f. Total number of applications and patents involved:				
Name: Clifford W. Browning, Esq.	7 Total Isse (37 CFR 3.41) \$40.00				
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Statement and algrature.  No the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the best o					
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Thereby certify that this paper or fee is being deposited with the United States Postal Service "Express Meil Post Office to Addressee" service under 37 CFR§1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Signature of person malling paper or fee



#### **ASSIGNMENT**

WHEREAS, DOMENICO ORZI, a citizen of Italy, currently residing at 773 Via Del Monte, Palos Verdes Estates, California 90274, U.S.A., and ETTIENNE THERON, a citizen of South Africa, currently residing at 173 Watermeyer Street, Meyerspark, Pretoria, South Africa (collectively, the "Inventors"), are the inventors of a new and useful technology generally characterized as a transparent filter arrangement, and method of making the same, which when viewed from one side an image is visible thereon, but when viewed from the opposite side the image is substantially visibly imperceptible (the "Invention");

WHEREAS, an application for Letters Patent of the United States of America was filed on April 6, 1995, under Serial No. 08/417,983 and under the title "AN OPTICAL FILTER ARRANGEMENT" for the Invention;

WHEREAS, U.S. Letters Patent No. 5,731,898 subsequently issued on March 24, 1998 on the Invention from U.S. Application Serial No. 08/417,983;

WHEREAS, an application for a Reissue Letters Patent was filed on March 24, 2000, under Serial No. 09/535,827 from U.S. Patent No. 5,731,898 (the "Reissue Application");

WHEREAS, by way of an assignment dated March 20, 1995, which was recorded with the United States Patent and Trademark Office on April 6, 1995 at reel/frame 7431/0042 through 0044, the Inventors assigned their entire right, title, and interest in, to and under said Invention, said U.S. Letters Patent No. 5,731,898, and said Reissue Application to DOMENICO ORZI and GUIDO ORZI, a citizen of Italy, currently residing at Via Oglio 5, 00198 Rome, Italy, each thereby holding an undivided 50 percent interest in the Invention;

WHEREAS, from his undivided 50 percent interest in the Invention, on or about September 12, 1998, in an unrecorded private agreement GUIDO ORZI assigned to ROBERTO

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To the Honoripie Convision end Peterts and Tradements: Please record the attached original documents or copy transfer. Name and address of receiving party(ies) rne of conveying party (let): Domenico Orzi Name: Domenico Orzi Ettienne Theron Internal Address: rest name(s) of conveying purty(set) statched? 🖸 Yee 👯 No Nature of conveyance: Street Address: 100 Forrest Road (2 Merger M Assignment Antholl, Sandton, South Africa □ Change of Name D Security Agreement Cay:\_ C Other. Exaction Date: <u>March 20, 1995</u> Additional name(s) & address(ss) stacked? (5. Yes (2 No (pplication number(s) or patent number(s): April 6, 1995 If this document is being filed together with a new application, the execution date of the application le: B. Pasent No.(s) A. Patent Application No.(s) 5 nel aurabens standard? 🗘 Yest 🍱 No Total number of applications and patents involved: Name and address of party to whom correspondence concerning document should be mailed: Name: Clifford W. Browning, Esq. 40.00 Total tee (37 CFR 3.41). Enclosed Internel Address: Authorized to be charged to deposit account Woodard, Emhardt, Naughton, Moriarty & McNett Street Address Bank One Center/Tower Deposit account number: 111 Monument Circle, Suite 3700 (Amach d-spicate copy of this page if paying by deposit account) Chy Indianapolis State: IN 5137 DO NOT USE THE SPACE 100 NG 04/18/95 08417983 Statement and aignature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Clifford W. Browning Name of Person Signing Total number of pages including cover sheet, att

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april 14, 1995 Date of Deposit...

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addresses" service under 37 CFR§1.10 on the date indicated above and is addressed to the Commissioner of Palents and Trademarks, Washington, D.C. 20231.

L. Abelle Signature of person malling paper or fee







### TRIBUNALE DI ROMA

Ufficio Atti Notori – Perizie e Traduzioni

### VERBALE DI GIURAMENTO

Addi 25/6/2001 avanti al sottoscritto Cancelliere è presente il signor. CECILIA MIGALI documento: AD CARTADIDENTIA Nº 8941548 rilasciato da COMUNE DI ROMA il 21-1-1999 il quale chiede di asseverare con giuramento il suesteso atto. Io Cancelliere, previe ammonizioni di legge, invito il comparente al giuramento, che egli presta ripetendo: "Giuro di avere bene e fedelmente adempiuto all'incarico affidatomi al solo scopo di far conoscere la verità".

Letto, confermato e sottoscritto.

IL CANCELLIERE C1

On Sonal

Sign pligh

24 marzo 2000, con il Numero 09/535.827 dal Brevetto Statunitense No. 5.731.898 (la "Domanda di Nuovo Rilascio";

Premesso che, mediante cessione datata 20 marzo 1995 registrata presso l'Ufficio Brevetti e Marchi degli Stati Uniti il 6 aprile 1995, bobina/fotogramma 7431/da 0042 a 0044, gli Inventori hanno ceduto tutto il loro diritto, titolarità ed interesse nella, alla e verso la detta Invenzione, detto Brevetto per gli Stati Uniti No. 5.731.898 e detta Domanda di Nuovo Rilascio a DOMENICO ORZI e GUIDO ORZI, un cittadino Italiano, attualmente residente in Via Oglio, 5, 00198 Roma, Italia, ciascuno dei quali detiene un interesse del 50 percento nell'Invenzione;

Premesso che, del proprio interesse indiviso del 50% nell'Invenzione, il o intorno al 12 settembre 1998, mediante una scrittura privata non registrata, GUIDO ORZI ha ceduto a, e con la presente conferma tale cessione a, ROBERTO LENZI, una cittadino Italiano, residente in Via Riccardo Moretti, 6/A, 00123 Roma, Italia, un interesse indiviso del 15 percento nella, alla e verso la detta Invenzione per tutti i paesi e territori del mondo, ad eccezione del Brasile, che comprende un interesse indiviso del 15

Tigo '

#### CESSIONE



Promosso ch , DOMENICO ORZI, un cittadino Italiano, residente a 773 Via del Monte, Palos Verdos Estates, California 902/4, U.S.A., ed ETTIENNE THERON, un cittadino del Sud Africa, attualmente residento a 1/3 Watermeyer, Street, Meyerspark, Pretoria, Sud Africa (collettivamente, gli "Inventori", sono gli inventori una nuova ed utile tecnologia generalmente caratterizzata da una disposizione di filtro trasparente, e procedimento per la fabbricazione dolla stessa che, quando vista dal medesima è visibile una immagine, ma quando vista dal lato opposto, l'immagine à sostanzialmente impercettibile alla vista (l'"Invenzione");

Premesso che, una Domanda di Brevello d'Invenzione per gli Stati Uniti d'America è stata depositata il 6 aprile 1995, Numero di Serie U8/417.983, avente per titolo "UNA DISPOSIZIONE DI FILTRO OTTICO" relativamente all'Invenzione;

Premesso che, il Brevetto d'Invenzione per gli Stall Uniti No. 5.731.898 è stato successivamente rilacciato il 24 marzo 1998 sull'Invenzione a seguito della Domanda per gli Stati Uniti No. 08/417.983;

Promosso che, una Domanda di Brevetto d'Invenzione di Nuovo Rilascio è stata depositata il 24 marzo 2000, con il Numero 09/535.827 dal Brevetto Statunitense No. 5.731.898 (la "Domanda di Nuovo Rilascio";

Premesso che, mediante cessione datata 20 marzo 1995, registrata presso l'Ufficio Brevetti e Marchi degli Stati Uniti il 6 aprile 1995, bobina/fotogramma 7431/da 0042 a 0044, gli Inventori hanno ceduto tutto il loro diritto, titolarità ed interesse nella, alla e verso la detta Invenzione, detto Brevetto per gli Stati Uniti No. 5.731.898 e detta Domanda di Nuovo Rilascio a DOMENICO ORZI e GUIDO ORZI, un cittadino Italiano, attualmente residente in Via Oglio, 5, 00198 Roma, Italia, ciascuno dei quali detiene un interesse del 50 percento nell'Invenzione;

Premesso che, del proprio interesse indiviso del 50% nell'Invenzione, il o intorno al 12 settembre 1998, mediante una scrittura privata non registrata, GUIDO ORZI ha ceduto a, e con la presente conferma tale cessione a, ROBERTO LENZI, una cittadino Italiano, residente in Via Riccardo Moretti, 6/A, 00123 Roma, Italia, un interesse indiviso del 15 percento nella, alla e verso la detta Invenzione per tutti i paesi e territori del mondo, ad eccezione del Brasile, che comprende un interesse indiviso del 15

2010/19

percento nel Brevetto Statunitense No. 5.731.898 e in detta Domanda di Nuovo Rilascio; e

Premesso che, GUIDO ORZI e ROBERTO LENZI desiderano che la loro Cessione sia registrata presso l'Ufficio Brevetti e Marchi degli Stati Uniti.

TUTTO CIÒ PREMESSO, per equo e considerevole corrispettivo, cui la firma presente atto serve di quietanza, GUIDO ORZI, del proprio interesse indiviso del 50 percento nell'Invenzione, con la presente vende, cede, trasferisce e passa a ROBERTO LENZI, suoi successori e aventi diritto, con decorrenza dal 12 settembre 1998, un interesse indiviso del 15 percento nella ed alla detta Invenzione per tutti i paesi e territori del mondo, ad eccezione del territorio del Brasile, che include un interesse indiviso del 15 percento nel ed al Brevetto per gli Stati Uniti No. 5.731.898 e in e verso qualsiasi relativo nuovo rilascio o riesame, compresa qualsiasi Domanda di Nuovo Rilascio o simile protezione da ottenersi per detta Invenzione in conseguenza di Domanda di Nuovo Rilascio, e tutte le relative continuazioni, continuazioni parziali, e/o domande divisionali, compreso, senza alcuna limitazione, il diritto di citare in giudizio per violazioni pregresse; e

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cessione, vendita, accordo o gravame che potrebbe essere in contrasto con la presente Cessione è stato o sarà posto in essere o stipulato.

Testimone la mia firma in CAMA, Italia, quest'oggi 26 CICCIOC, 2001.

GUIDO ORZI

personalmente comparso Roberto Lenzi ( ) il quale

( ) a me personalmente noto - OPPURE - ( ) il quale

ha dimostrato sulla base di una prova soddisfacente

di essere a persona la cui firma è apposta al

documento allegato ed ha riconosciuto di aver

eseguito il medesimo nella sua capacità autorizzata,

e che mediante la propria firma sul documento la

persona, o l'entità per conto della quale la persona

ha agito, ha eseguito il documento.

Testimone la mia firma e sigillo ufficiale.

Notaio Pubblico

Repertorio n. 40282

OFFICE OF PETITIONS

#### AUTENTICA DI FIRMA

Io sottoscritto Avv. Nicola Maggiore, Notaro in Roma, con studio in Via del Viminale n. 43 ed iscritto al Collegio Notarile di Roma, Velletri e Civitavecchia

#### CERTIFICO

che, previa sua rinunzia con il mio consenso alla assistenza dei testimoni, il Sig.

ORZI GUIDO, nato a Roma il 3 luglio 1956 ed ivi residente in Via Oglio n. 5, imprenditore, c.f. RZO GDU 56L03 H501X della cui identità personale io Notaro sono certo, ha apposto la firma che precede in mia presenza e vista in Roma, nel mio studio, il ventisei giugno duemilauno

Mich Maggiore Uctais





	GUIDO ORAI heachy covenants that no assignment, sale, agreement or encumbrance has
	been r will be made or entered int which would conflict with this Assignment.
	WITNESS my hand at ROHE Traly, this day of day of Traly, this day of
	2001.
3 (2.3)	ODO ORZI
	On the fore me, personally appeared
	Roberto Lenzi Guido OR21  personally known to me - OR - proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized expacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.  WITNESS my hand and official scall.
	Notary Public
	(i) dele l'incase les. "POBERTO LENZI". portile apporte

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JUL 2 6 2002

## ASSIGNMENOFFICE OF PETITIONS

WHEREAS, DOMENICO ORZI, a citizen of Italy, currently residing at 773 Via Del Monte, Palos Verdes Estates, California 90274, U.S.A., and ETTIENNE THERON, a citizen of South Africa, currently residing at 173 Watermeyer Street, Meyerspark, Pretoria, South Africa (collectively, the "Inventors"), are the inventors of a new and useful technology generally characterized as a transparent filter arrangement, and method of making the same, which when viewed from one side an image is visible thereon, but when viewed from the opposite side the image is substantially visibly imperceptible (the "Invention");

WHEREAS, an application for Letters Patent of the United States of America was filed on April 6, 1995, under Serial No. 08/417,983 and under the title "AN OPTICAL FILTER ARRANGEMENT" for the Invention;

WHEREAS, U.S. Letters Patent No. 5,731,898 subsequently issued on March 24, 1998 on the Invention from U.S. Application Serial No. 08/417,983;

WHEREAS, an application for a Reissue Letters Patent was filed on March 24, 2000, under Serial No. 09/535,827 from U.S. Patent No. 5,731,898 (the "Reissue Application");

WHEREAS, by way of an assignment dated March 20, 1995, which was recorded with the United States Patent and Trademark Office on April 6, 1995 at reel/frame 7431/0042 through 0044, the Inventors assigned their entire right, title, and interest in, to and under said Invention, said U.S. Letters Patent No. 5,731,898, and said Reissue Application to DOMENICO ORZI and GUIDO ORZI, a citizen of Italy, currently residing at Via Oglio 5, 00198 Rome, Italy, each thereby holding an undivided 50 percent interest in the Invention;

WHEREAS, from his undivided 50 percent interest in the Invention, on or about

September 12, 1998, in an unrecorded private agreement GUIDO ORZI assigned to ROBERTO

LENZI, a citizen of Italy, residing at Via Riccardo Moretti, 6/A, 00123 Rome, Italy, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in said U.S. Letters Patent No. 5,731,898 and said Reissue Application; and

WHEREAS, GUIDO ORZI and ROBERTO LENZI now desire to have their Assignment recorded with the United States Patent and Trademark Office.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, GUIDO ORZI, from his undivided 50 percent interest in the Invention, does hereby sell, assign, transfer and set over unto ROBERTO LENZI, his successors and assigns, effective as of September 12, 1998, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in and to said U.S. Letters Patent No. 5,731,898 and any reissue or reexamination thereof, including said Reissue Application and all Reissue Letters Patent or similar legal protection to be obtained for said Invention from said Reissue Application, and all continuations, continuations-in-part, and/or divisional applications thereof, including without limitation, the right to sue for any past infringement; and

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LA-181291.1

#### ASSIGNMENT

WHEREAS, DOMENICO ORZI, a citizen of Italy, currently residing at 773 Via Del Monte, Palos Verdes Estates, California 90274, U.S.A., and ETTIENNE THERON, a citizen of South Africa, currently residing at 173 Watermeyer Street, Meyerspark, Pretoria, South Africa (collectively, the "Inventors"), are the inventors of a new and useful technology generally characterized as a transparent filter arrangement, and method of making the same, which when viewed from one side an image is visible thereon, but when viewed from the opposite side the image is substantially visibly imperceptible (the "Invention");

WHEREAS, an application for Letters Patent of the United States of America was filed on April 6, 1995, under Serial No. 08/417,983 and under the title "AN OPTICAL FILTER ARRANGEMENT" for the Invention;

WHEREAS, U.S. Letters Patent No. 5,731,898 subsequently issued on March 24, 1998 on the Invention from U.S. Application Serial No. 08/41/,983;

WHEREAS, an application for a Reissue Letters Patent was filed on March 24, 2000, under Scrial No. 09/535,827 from U.S. Patent No. 5,731,898 (the "Reissue Application");

WHEREAS, by way of an assignment dated March 20, 1995, which was recorded with the United States Patent and Trademark Office on April 6, 1995 at real/frame 7431/0042 through 0044, the Inventors assigned their entire right, title, and interest in, to and under said Invention, said U.S. Letters Patent No. 5,731,898, and said Reissne: Application to DOMENICO ORZI and GUIDO ORZI, a citizen of Italy, currently residing at Via Oglio 5, 00198 Rome, Italy, each thereby holding an undivided 50 percent interest in the Invention;

WHEREAS, from his undivided 50 percent interest in the Invention, on or shoul September 12, 1998, in an unrecorded private agreement GUIDO ORZI assigned to, and hereby CICE LIVE

GUIDO ORZI con la presente conviene che nessuna cessione, vendita, accordo o gravame che potrebbe essere in contrasto con la presente Cessione è stato o sarà posto in essere o stipulato.

Testimone la mia firma in CAMA, Italia, quest'oggi 26 Cucióc, 2001.

GUIDO ORZI

personalmente comparso Roberto Lenzi (VIDO ORZI

() a me personalmente noto - OPPURE - () il quale
ha dimostrato sulla base di una prova soddisfacente
di essere a persona la cui firma è apposta al
documento allegato ed ha riconosciuto di aver
eseguito il medesimo nella sua capacità autorizzata,
e che mediante la propria firma sul documento la
persona, o l'entità per conto della quale la persona
ha agito, ha eseguito il documento.

Testimone la mia firma e sigillo ufficiale.

Notaio Pubblico

confirms such assignment to, ROBERTO LENZI, a citizen of Italy, residing at Via Riccardo Moretti, 6/A, 00123 Rome, Italy, an undivided 15 percent interest in, to and under said Invention for all countries and territories worldwide, with the exception of the territory of Brazil, which includes an undivided 15 percent interest in said U.S. Letters Patent No. 5,731,898, and said Reissue Application; and

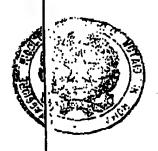
WHEREAS, JAX HOLDINGS, Inc., a corporation organized and existing under the laws of Delaware, and having its address and principal place of business at 5155 Rosextrans Avenue, Suite 320. Hawthorne, California, U.S.A. (herematter "JAX"), is desirous of acquiring the full and exclusive right, title and interest in, to and under said Invention, in, to and under said U.S. Letters Patent No. 5,731,898, and in, to and under said Reissue Application and any Reissue Letters Patent or similar protection to be obtained therefor, as part and parcel of asset purchase agreements that JAX has consummated with DOMENICO ORZI, GUIDO ORZI, and ROBERTO LENZI (collectively "ASSIGNORS").

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged. ASSIGNORS do hereby sell, assign, transfer and set over unto JAX, its successors, assigns and legal representatives, the full and exclusive right, title, and interest in and to said Invention, and in and to said U.S. Letters Patent No. 5,731,898, and in and to any reisaue or reexamination thereof, including said Reisaue Application and all Reisaue Letters Patent or similar legal protection to be obtained for said Invention from said Reisaue Application, and all continuations, continuations-in-part, and/or divisional applications thereof, including without limitation, the right to sue for any past infringement; and ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any Reisaue Letters Patent issuing

from said Reissue Application, or any continuation, continuation in part, or divisional thereof, to said JAX, its successors and assigns, in accordance with this Assignment;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this Assignment; and

ASSIGNORS further covenant that JAX will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said Invention, said Letters Patent, said Reissue Application and said Reissue Letters Patent, and all legal equivalents, as may be known and accessible to ASSIGNORS and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to JAX or its legal representative any and all papers, instruments or affidavits required to apply for, obtain,



maintain, issue and enforce said application, said Invention, and Letters Patent, said Reissue
Application and said Reissue Letters Patent, and said equivalents, in the United States or in any
foreign country, which may be necessary or desirable to carry out the purposes thereof.

	WITNESS my hand at _	डेशक	Italy, this	26	day of	
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JUNE	2001.	•••	_ H	5	5	
	WITNESS my hand at	UANTUOON	ROBERTO		this 13TH day o	· •
	WITNESS my hand at	HAWIHARM	DOMENICO		this 13 day of	F

On	before me,		, personally appeared
Guido Orzi			
in he the person(s) we to me that he/she/the his/hei/their signatur	y known to me OR - py whose name(s) is/are subscribely executed the same in his/arc(s) on the instrument the protect the instrument.	ibed to the within instr her/their authorized ca	pacity(ies), and that by
	ny hand and official scal.	•	
		Notary Public	
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00	before me,		, personally appeared
Roberto Leuzi			
nis/her/their signatur person(s) acted, exce	ey executed the same in his/ e(s) on the instrument the period the instrument.  by hand and official scal.	erson(s), or the entity	opon behalf of which the
.,		1	
		Notary Public	
STATE OF CALIFO	) ss		
On <u>June</u> Domenico Orzi	2,200 _before me,   1.€≤	ille Hendricks	personally appeared
personally to be the person(s) w	whown to me - OR \ p hose name(s) is/are subscri y executed the same in his/ e(s) on the instrument the p uted the instrument.	bed to the within instru her/their authorized ca	ment and acknowledged pacity(ies), and that by
LE	y hand and official seal.  SUIE HENDRICKS  COMM. #1280842	Olslie, Ga Notary Public	indicks

cettiges-

#### CESSIONE



Premesso che, DOMENICO ORZI, un cilladino Italiano, residente a 773 Via del Monte, Palos Verdes Estates, C lifornia 90274, U.S.A., ed ETTIENNE THERON, un cittadino del Sud Africa, allualmente residente a 173 Watermeyer Struct, Meyerspark, Pretorla, Sud Africa (collectivamente, gli "inventori", sono gli inventori di Juna nuova ad utile tocnologia generalmente disposizione di tiltro una caratterizzata da trasparente, e procedimento por la fabbricazione della stessa che, quando vista da un lato, suila medesima è visibile una immagine, ma quando vista dal sostanzialmente opposto, l'immagine lato impercettibile alla vista (l'"Invenzione");

Premesso che, una Domanda di Brevetto d'Invenzione per gli Stati Uniti d'America è stata dopositata il 6 aprile 1995, Numero di Serie 08/417.983, avente per titolo "UNA DISPOSIZIONE DI FILTRO OTTICO" relativamente all'Invenzione;

Premasso che, il Brevetto d'Invenzione per gli Stati Uniti No. 5.731.898 è stato successivamente rilasciato 11 24 marzo 1998 sull'Invenzione a sequito della Domanda per gli Stati Uniti No. 08/417.983;

Premesso che, una Domanda di Brevetto d'Invenzione di Nuovo Rilascho è stata depositata il 1202/ Tige

24 marzo 2000, con il Numero 09/535.827 dal Brevetto Statunitense No. 5./31.898 (la "Domanda di Nuovo Rilascip";

Premesso che, mediante cossiono datata 20 marzo 1995; registrata presso l'Ufficio Brevetti e Marchi degli Stati Uniti il 6 aprilo 1995, bobina/fotogramma 7431/da 0042 a 0044, gli Inventori hanno ceduto tutto il loro diritto, titolarità ed interesse nella, alla e verso la detta Invenzione, detto Brevetto per gli Stati Uniti No. 5.731.898 e della Domanda di Nuovo Rilascio a DOMENTCO ORZI e GUIDO ORZI, un cittadino Italiano, attualmente residente in Via Oglio, 5, 00198 Roma, Italia, ciascuno dei quali detiene un interesse del 50 percento nell'Invenzione;

Premesso che, del proprio interesse indiviso del 50% nell'Invenzione, il o intorno al 12 settembre 1998, mediante una scrittura privata non registrata, GUIDO ORZT ha ceduto a, e con la presento conferma tale cossione a, ROBERTO LENZI, una cittadino Italiano, residente in Via Riccardo Moretti, 6/A, 00123 Roma, Italia, un interesse indiviso del 15 percento nella, alla e verso la detta Invenzione per tutti i paesi e territori del mondo, ad eccezione del Brasile, che comprende un interesse indiviso del 15

percento nel Brevetto Statunitense No. 5.731.898 o in detta Domanda di Nuovo Rilascio; o

organizata ed esistente ai sensi dolle loggi dello organizata ed esistente ai sensi dolle loggi dello Sistem del Delawaro, avente seda a 5155 Rosecrans Avenue, Suite 370, Hawthorne, California, U.S.A. (qui di seguito "JAX") desidera acquisire l'intero ed esclusivo diritto, Litolarità ed interesse nella, alla e verso detta Invenzione, nel, al e verso detto Brevetto per gli Stati Uniti No. 5.731.898 e nella, alla e verso la Domanda di Nuovo Rilascio e qualsiasi Brevetto di Nuovo Rilascio o simple protezione da ottenersi in relazione a ciò, como parte e porzione dell'accordo di acquisto di beni che JAX ha stipulato dell'accordo ORZI, GUIDO ORZI e ROBERTO LENZI (collettivamente, i "CEDENTI").

considerevole corrispettivo, cui la tirma del presente atto serva di quielanza, i CEDENTI con la presente vendono, cedono, trasferiscono e passano a JAX, suoi successori, aventi diritto e rappresentanti legali, l'intero ed esclusivo diritto, titolarità ed interesse nella ed alla detta invenzione, a nel ad al Brevetto per uli Stati Uniti No. 5.731.898 e in e verso qualciasi rolativo nuovo rilascio e riesame,

2000/12

compresa qualsiasi Domanda di Nuovo Rilascio o similo protezione da ottenersi per detta Invenzione in conseguenza di Domanda di Nuovo Rilascio, e tutte le relative continuazioni, continuazioni parziali, e/o domande divisionali, compreso, senza alcuna limitazione, il diritto di citare in giudizio per viotazioni pregresse; e i CEDENTI con la presente autorizzano e richiedono al Mandatario di Brevetti e Marchi di rilasciare qualsiasi Brevetto di Nuovo Rilascio derivante da detta Domanda di Nuovo continuazione parziale o divisione, a detta JAX, suoi successori ed aventi diritto, ai sensi della presente Cessione;

I CEDENTI con la presente convengono che nessuna cessione, vendita, accordo o gravame che potrebbe essere in contrasto con la presente Cessione è stato o sarà posto in essere o stipulato.

I CEDENTI inoltre convengono che a JAX, su richiesta, saranno immediatamente fornili lutti i falli e documenti relativi a detta domanda, detta Invonzione, detto Brevetto, detta nomanda di Nuovo Rilascio a Brevello di Nuovo Rilascio, e tutti gli equivalenti legali, che siano noti ed accessibili at CEDENTI a prasteranno testimonianza relativamento a

ciò in qualsiasi interferenza o controversia a ciò collegata ed eseguiranno prontamente e consegneranno suo rappresentante legale qualsiasi a JAX o documento, atto o affidavit inecessario per richicocro, ottenere, mantenere, rilasciare e azionare detta domanda, detta Invenzione, detto Brevetto, detta Domanda di Nuovo Rilascio e Brevetto di Nuovo Rilascio, e detti equivalenti, negli Stati Uniti o in qualsicoi paese estero, come necessario o opportuno per portare a Leimine gli stessi.

Testimone la mia firma in ROHA quest'oggi 26 GIIGNO , 2001.

GUIDO ORZI

Testimone la mia firma in ROMA, Italia,

quest'ogg1 %6 GWGNO , 2001.

ROBERTO LENZT

\_\_\_, Italia, Testimone la mia firma in quest'oggi.\_\_\_\_\_, 2001.

DOMENTOO ORZI

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personalmente comparso Guido Orzi

() a me personalmente noto - OPPURE - () il quale ha dimostrato sulla base di una prova soddisfacembe di essere a persona la cui firma è apposta al documento allegato ad ha riconosciuto di aver eseguito il medesimo nella sua capacità autorizzata, e che mediante la propria firma sul documento la persona, o l'entità per conto della quale la persona ha aqito, ha eseguito il documento.

mone la mia firma e sigillo ufficiale.

Notaio Pubblico

personalmente comparso Ruberto Lenzi

( ) a me personalmente noto - OPPURE - ( ) il quale ha dimostrato sulla base di una prova soddisfacento di essere a persona la cui firma è apposta al documento allegato ed ha riconosciuto di aver eseguito il medesimo nella sua capacità autorizzata, e che mediante la propria firma sul documento la persona, o l'entirà per conto della quale la persona ha agito, ha eseguito il documento.

Testimone la mia firma sigillo ufficiale.

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documento allegato ed

Notaio Pubblico

riconosciuto

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esequito il medesimo nella sua capacità autorizzata, e che mediante la propria firma sul documento la

ha

persona, o l'entità per conto della quale la persona

ha agito, ha eseguito il documento.

Testimone la mia firma e sigillo pificiale.

Notaio Pubblico



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IL CONGBORATORS

COPY OF PAPERS ORIGINALLY FILED

# TRIBUNALE DI ROMA

Ufficio Atti Notori - Perizie e Traduzioni

# VERBALE DI GIURAMENTO

Addi 25/6/2001 avanti al sottoscritto Cancelliere è presente il
signor CECILIA MIGALI
documento: AD CARTADIOENTIANº 8941548
rilasciato da COMUNE DIROFIA 11-22-7-1999
il quale chiede di asseverare con giuramento il suesteso atto. Io
Cancelliere, previe ammonizioni di llegge, invito il comparente al
giuramento, che egli presta ripetendo: "Giuro di avere bene e
fedelmente adempiuto all'incarico affidatomi al solo scopo di far
conoscere la verità".
Lara conformato e sottoscuitto

100

IL CANCELLIERDE

M. Gravia Corigliano

AF APUSTICLE

Repertorio n. 40283

#### AUTENTICA DI FIRMA

To sottoscritto Avv. Nicola Maggiore, Notaro in Roma, con studio in Via del Viminale n. 43 od isoritto al Collogio Notarile di Roma, Velletri e Civitavecchia

#### CERTIFICO

che, previa loro concorde rinunzia con il mio consenso alla assistenza dei testimoni, i Sigg.

ORZI GUIDO, nato a Roma il 3 luglio 1956 ed lvi residente in Via Oglio n. 5, imprenditoro, c.f. BZO CDU 56LO3 H501X

LENZI ROBERTO, meto a Roma 11 7 settembre 1954 ed 1v1 residente in Via Riccardo Moretti n. 6/A, imprenditore, c.f. LNE RRT 54P07 H501L

della cui identità personale io Notaro sono certo, hanno apposto la firma che precede in mia presenza e vista in Roma, nel mio studio, il ventisei giugno duemilauno

Miche Moggine Mitais









## TRIBUNALE DI ROMA

# Ufficio Atti Notori – Perizie e Traduzioni VERBALE DI GIURAMENTO

Addi 25/6/2001 avanti al sottoscritto Cancelliere è presente il signor. CECILIA MIGALI documento: AD CARTADIDENTIA Nº 8941548 rilasciato da COMUNE DI ROMA il 24-7-1999 il quale chiede di asseverare con giuramento il suesteso atto. Io Cancelliere, previe ammonizioni di legge, invito il comparente al giuramento, che egli presta ripetendo: "Giuro di avere bene e fedelmente adempiuto all'incarico affidatomi al solo scopo di far conoscere la verità".

Letto, confermato e sottoscritto.

M. Grazia Corigliano

Repertorio n. 40282

#### AUTENTICA DI FIRMA

Io sottoscritto Avv. Nicola Maggiore, Notaro in Roma, con studio in Via del Viminale n. 43 ed iscritto al Collegio Notarile di Roma, Velletri e Civitavecchia

#### CERTIFICO

che, previa sua rinunzia con il mio consenso alla assistenza dei testimoni, il Sig.

ORZI GUIDO, nato a Roma il 3 luglio 1956 ed ivi residente in Via Oglio n. 5, imprenditore, c.f. RZO GDU 56L03 H501X della cui identità personale io Notaro sono certo, ha apposto la firma che precede in mia presenza e vista in Roma, nel mio studio, il ventisei giugno duemilauno

Micha Moggiore Motorio



Section States	GUIDO ORZI hereby covenants that no assignment, sale, agreement or encumbrance has
A STATE OF THE PARTY OF THE PAR	been or will be mad or entered into which would conflict with this Assignment.  WITNESS my hand at
Jan	Roberto Lenzi   Gutbo OR2
	Notary Public  (i) dele l'incase les "POBERTO LENZI". portile apparte

Patent 252/128

VER OF ATTORNEY **Bv** Assign

Holdingspance, assignee(s) of the application for United States Letters Patent for an improvement in

## OPTICAL FILTER ARRANGEMENT

by Domenico ORZI, et al.,

BEST AVAILABLE COPY

the specification of which:

	is filed herewith, OR					
冈	was filed on March 24, 2000,	having U.S.	Patent	<b>Application</b>	Serial No.	09/535,827,

does hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified application, all of the registered practitioners identified by Customer Number 22249:



PATENT TRADEMARK OFFICE

LYON & LYON LLP Suite 4700 633 W. Fifth Street Los Angeles, CA 90071 (213) 489-1600

Please send all inquiries to David A. Randall, at the above Customer Number.

I, the undersigned, declare that I have reviewed copies of the documentary evidence establishing chain of title to the patent application identified above from the inventor(s) to the assignee(s), which:

is filed for recordation here	with; or		
was recorded at Reel	, Frame _	; or	
has been sent for recordat	ion under se	eparate cover	, copy attached herewith.

To the best of the undersigned's knowledge and belief, title is in the assignee(s) identified above. Furthermore, the undersigned is empowered to sign this document on behalf of the assignee(s).

Full Name of Assignee: Jax Holdings, Inc.				
Post Office Address: 5155 Rosecrans Avenue, Suite 320, Hawthorne, California 90250				
Signature of Declarant or Assignee:    Date:				
Full Name of Declarant				
If Other Than Assignee: Christopher Donnelly				
Title of Declarant: Chief Executive Officer				
Address of Declarant: 5155 Rosecrans Avenue, Suite 320, Hawthorne, California 90250				